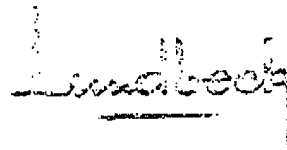


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# Fax

<b>To Whom:</b>	Group Art Unit Not Assigned	<b>From:</b>	Dr. Nicholas J. Sisti, Esq.
<b>Company:</b>	U.S. Patent & Trademark Office		
<b>Fax No.:</b>	(571) 273-8300	<b>Phone:</b>	(571) 272-0617
<b>Date:</b>	July 20, 2006	<b>Total No. of Pages:</b>	4
<b>Docket No.:</b>	431-US-PCT	<b>Application No.:</b>	10/551737
<input type="checkbox"/> Urgent <input checked="" type="checkbox"/> For Review <input type="checkbox"/> Please Comment <input type="checkbox"/> Please Reply <input type="checkbox"/> Please Recycle			

**MESSAGE:**

Transmitted herewith please find:

Certificate of Facsimile (1 pg); Revocation of Power of Attorney (1 pg); True Copy of Original Assignment (9 pg); and Statement under 37 CFR 3.73(b) (1 pg).

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**RECEIVED  
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431-US-PCT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Garrick Paul Smith

Group Art Unit: Not Known

Application No.: 10/551737

Examiner: Not Known

Filed: September 29, 2005

For: Aromatic Oxyphenyl and Aromatic Sulfanylphenyl Derivatives

Date: July 20, 2006

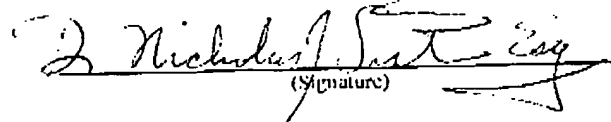
**CERTIFICATE OF TRANSMISSION  
BY FACSIMILE (37 C.F.R. § 1.8)**Commissioner for Patents  
PO Box 1450  
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Sir:

I hereby certify that the following correspondence:

**Certificate of Transmission (1 pg); Revocation of Power of Attorney (1 pg); True Copy of  
Original Assignment (9 pg); Statement Under 37 CFR 3.73(b) (1 pg) and Fax cover sheet (1  
pg).**is being transmitted by facsimile to the United States Patent and Trademark Office in  
accordance with 37 C.F.R. § 1.8 on the following date: **July 20, 2006****Dr. Nicholas J. Sisti, Esq.**

(Typed Or Printed Name Of Person Signing this Certificate)

  
(Signature)

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PTO/SB/82 (01-06)

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/551,737
Filing Date	September 29, 2005
First Named Inventor	Garrick Paul Smith
Art Unit	Not Known
Examiner Name	Not Known
Attorney Docket Number	431-US-PCT

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

45821

☒ Please change the correspondence address for the above-identified application to:☒ The address associated with  
Customer Number:

45821

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

Lars Bo Kjerrumgaard

Date

30 June 2006

Telephone

+45 3643 4118

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: H. Lundbeck A/SApplication No./Patent No./Control No.: 10/551,737 Filed/Issue Date: September 29, 2005Entitled: Aromatic oxyphenyl and aromatic sulfanyphenyl derivativesH. Lundbeck A/S

(Name of Assignee)

a

corporation of Denmark

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

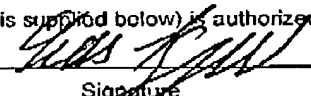
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Lars Bo Kjerrumgaard

Printed or Typed Name

Head of Department

Title

30 June 2006

Date

+45 3643 4118

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## ASSIGNMENT

I, Garrick P. Smith, a citizen of United Kingdom, residing at Aebblehaven 10; DK-2500 Valby, DENMARK; and

I, Gitte K. Mikkelsen, a citizen of Denmark, residing at Skovbovaenget 143; DK-2750 Ballerup; DENMARK; and

I, Kim Andersen, a citizen of Denmark, residing at 744 Fenwood Ct.; Ridgewood, New Jersey 07450; and

I, Daniel R. Greve, a citizen of Denmark, residing at Kornvaenget 20; DK-3660 Stenlose; DENMARK; and

I, Jorgen Eskildsen, a citizen of Denmark, residing at Sonder Boulevard 63, 1. th: 1720 Kobenhavn V; DENMARK;

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$ 10.00) or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to ASSIGNOR by

II. Lundbeck A/S

a Corporation organized under the laws of Denmark, located at 9, Ottilhavej, DK-2500 Valby-Copenhagen, DENMARK (hereinafter "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns the below indicated right, title, and interest, in and for the United States of America only in and to my Invention entitled:

## AROMATIC OXYPHENYL AND AROMATIC SULFANYLPHENYL DERIVATIVES

invented by me and described in the US National Phase of International Application No. PCT/DK2004/000290 filed on April 27, 2004 and Patent Application No. 60/466,755, filed on April 30, 2003, in the United States of America and all patents, divisions, reissues,

continuations and any extensions thereof and rights of priority therein, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made:

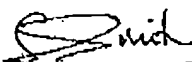
And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

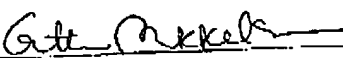
This assignment shall have an effective date corresponding to the last date of execution.

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: 18/01/06

  
Garrick P. Smith, Inventor

Dated: 19/01/06

  
Gitte K. Mikkelsen, Inventor

Dated: \_\_\_\_\_

Kim Andersen, Inventor

Dated: 12/01/06

  
Daniel R. Greve, Inventor

Dated: \_\_\_\_\_

Jorgen Eskildsen, Inventor

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## ASSIGNMENT

I, Garrick P. Smith, a citizen of United Kingdom, residing at Achlehaven 10; DK-2500 Valby, DENMARK; and

I, Gitte K. Mikkelsen, a citizen of Denmark, residing at Skovbovacnet 143; DK-2750 Ballerup; DENMARK; and

I, Kim Andersen, a citizen of Denmark, residing at 744 Fairwood Ct.; Ridgewood, New Jersey 07450; and

I, Daniel R. Greve, a citizen of Denmark, residing at Kornvacnet 20; DK-3660 Stenlose; DENMARK; and

I, Jorgen Eskildsen, a citizen of Denmark, residing at Sonder Boulevard 63, 1. th; 1720 Kobenhavn V; DENMARK;

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$ 10.00) or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to ASSIGNOR by

H.Lundbeck A/S

a Corporation organized under the laws of Denmark, located at 9, Ottehavej, DK-2500 Valby-Copenhagen, DENMARK (hereinafter "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns the below indicated right, title, and interest, in and for the United States of America only in and to my Invention entitled:

## AROMATIC OXYPHENYL AND AROMATIC SULFANYLPHENYL DERIVATIVES

invented by me and described in the US National Phase of International Application No. PCT/DK2004/000290 filed on April 27, 2004 and Patent Application No. 60/466,755, filed on April 30, 2003. in the United States of America and all patents, divisions, reissues,



continuations and any extensions thereof and rights of priority therein, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made:

And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

This assignment shall have an effective date corresponding to the last date of execution.

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Garrick P. Smith, Inventor

Dated: \_\_\_\_\_

\_\_\_\_\_  
Gitte K. Mikkelsen, Inventor

Dated: \_\_\_\_\_

\_\_\_\_\_  
Kim Andersen, Inventor

Dated: \_\_\_\_\_

\_\_\_\_\_  
Daniel R. Greve, InventorDated: 18 April 2006  
\_\_\_\_\_  
Jorgen Eskildsen, Inventor

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I, Kim Andersen, a citizen of Denmark, residing at 744 Fenwood Ct.; Ridgewood, New Jersey 07450; and

I, Daniel R. Greve, a citizen of Denmark, residing at Kornvaenget 20; DK-3660 Stenlose; DENMARK; and

I, Jorgen Eskildsen, a citizen of Denmark, residing at Sonder Boulevard 63, 1. th; 1720 Kobenhavn V; DENMARK;

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H.Lundbeck A/S

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## AROMATIC OXYPHENYL AND AROMATIC SULFANYLPHENYL DERIVATIVES

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continuations and any extensions thereof and rights of priority therein, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made:

And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

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I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Garrick P. Smith, Inventor

Dated: \_\_\_\_\_

\_\_\_\_\_  
Gitte K. Mikkelsen, InventorDated: 5-10-2006  
\_\_\_\_\_  
Kim Andersen, Inventor

Dated: \_\_\_\_\_

\_\_\_\_\_  
Daniel R. Grove, Inventor

Dated: \_\_\_\_\_

\_\_\_\_\_  
Jorgen Eskildsen, Inventor